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MAY 23 2001  
PATENT

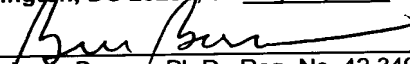
DAC

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application	)	<u>PATENT APPLICATION</u>
Inventor(s):	)	Art Unit: 2812
SC/Serial No.:	)	
Filed:	)	
Title: METHODS FOR CHARACTERIZING AND REDUCING ADVERSE EFFECTS OF TEXTURE OF SEMICONDUCTOR FILMS	)	<u>Customer No. 23910</u>

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8**

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to **Box Missing Parts, Commissioner for Patents, Washington, DC 20231**, on **May 18, 2001**.

 (Attorney Signature)  
D. Benjamin Borson, Ph.D., Reg. No. 42,349  
Signature Date: May 18, 2001

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Box Missing Parts  
Commissioner for Patents  
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts of Application -- Filing Date Granted, dated March 19, 2001, the U.S. Patent and Trademark Office has requested an additional \$80.00 in filing fees. Applicant has reviewed the calculations made at the time of the filing of the patent application on January 31, 2001, and finds no errors in the calculation. Eleven independent claims were filed, paying a total of \$640.00 for eight additional independent claims.

Additionally, enclosed are the following documents in connection with the above-identified application:

- ☒ Copy of Notice to File Missing Parts -- Filing Date Granted
- ☒ Copy of Declaration for Patent Application previously filed
- ☒ Copy of Assignment and Assignment Recordation Form Cover Sheet previously filed
- ☐ Power of Attorney
- ☐ Statement(s) of small entity status

**RECEIVED**  
**MAY 29 2001**  
**OFFICE OF PETITIONS**

- ☐ Petition for an Extension of Time pursuant to 37 C.F.R. §1.136(a) for responding to the Notice to File Missing Parts
- ☐ Information Disclosure Statement under 37 C.F.R. §1.56
- ☐ Preliminary Amendment
- ☒ Petition Under 37 CFR §1.47(a) and Declaration of D. Benjamin Borson Accompanying Petition under 37 CFR §1.47(a) and attached Exhibits A-C.

**Total Fee**

The Total Fee associated with this communication has been calculated as shown below:

<input type="checkbox"/>	Patent application filing fee		\$
<input type="checkbox"/>	Net fee for extension of time	\$	
<input type="checkbox"/>	Assignment recording fee (\$40.00)	\$	
<input type="checkbox"/>	Surcharge under 37 C.F.R. §1.16(e) for late filing of filing fee or declaration:		
<input type="checkbox"/>	Large Entity	\$130.00	\$
<input type="checkbox"/>	Small Entity	\$ 65.00	\$
<input checked="" type="checkbox"/>	Filing Fee for Petition Under 37 CFR §1.47(a)		\$130.00

TOTAL FEE DUE:

\$130.00

**Method of Payment of Fees**

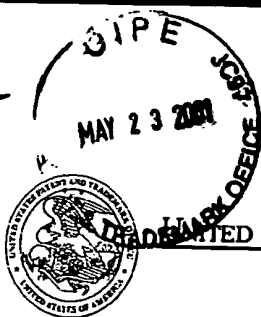
- ☒ A check in the amount of the TOTAL FEE DUE is enclosed.
- ☒ The Commissioner is hereby authorized to charge underpayment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

Date: May 18, 2001

By: D. Benjamin Borson  
D. Benjamin Borson, Ph.D.  
Reg. No. 42,349

FLIESLER, DUBB, MEYER & LOVEJOY LLP  
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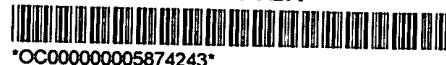
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/773,312	01/31/2001	Guarionex Morales	AMD18366US0 MCF/DBB

CONFIRMATION NO. 2747

## FORMALITIES LETTER



\*OC000000005874243\*

Martin C. Fliesler, Esq.  
FLIESLER, DUBB, MEYER & LOVEJOY LLP  
Suite 400  
Four Embarcadero Center  
San Francisco, CA 94111-4156

Date Mailed: 03/19/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION


FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- Total additional claim fee(s) for this application is \$80.
  - \$80 for 8 independent claims over 3.
- The signature of the following inventor(s) is missing from the oath or declaration:  
*Guarionex Morales*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 210.

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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MAY 29 2001

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